



هيئة قطر للأسواق المالية  
*Qatar Financial Markets Authority*

**The QFMA's Board Decision No. 1 of 2018**  
**Concerning the Amendment of Some Provisions of**  
**Rules and Procedures of Complaints Issued by Decision No. 6 of 2009**

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**The Board of Directors,**

Having considered Law No. 8 of 2012 of Qatar Financial Markets Authority;

Rules and Procedures of Complaints Issued by QFMA's Board Decision No. 6 of 2009;

The proposal of the QFMA's Chief Executive Officer; and QFMA's Board approval at its 4<sup>th</sup> meeting of 2017 on 14<sup>th</sup> of December 2017.□

**We have decided the following:**

**Article (1)**

The provisions of Articles (1 item 2), (25), (26), (32), of Decision No. 6 of 2009 mentioned above, shall be replaced with the following:

**Article (1 item 2):**

A notification to the Authority regarding any violations of applicable legislations conducted by others may be submitted even if the whistleblower (complaint informer) not affected by any damage.

In this case, the requested fees for lodging the complaint shall be exempted.

**Article (25)**

The complainant shall pay to the Authority the fees determined for lodging the complaint. Failure in collecting these fees shall not prevent the commencement of the complaint proceedings. Excluding from the fees payment, any whistleblower notifies the Authority of any violations of the applicable legislations conducted by others, even if the whistleblower is not affected by any damage or the complaint is lodged to the Authority from abroad.

**Article (26)**

When the complaint is lodged from abroad, by a non-resident in the State, using any way whatsoever, it will be deemed to be in compliance with Rules and Procedures of Complaints.

**Article (32)**

The final report of the case and the recommendations shall be submitted to the CEO to take the proper decision thereto.

**Article (2)**

The provision of Article (31 items 5 and 6), of Decision No. 6 of 2009 mentioned above, shall be replaced with the following:

**Article (31 item 5):**

If none of the aforementioned cases is clearly proved, it will be recommended to refer the subject for investigation to complete all necessary procedures and take the proper decision regarding the legal proceedings that may be taken against the violators of the applicable legal legislations.

### **Article (3)**

All competent authorities, each within its jurisdiction, shall implement this decision. The decision shall be effective from the date of issuance and shall be published in the Official Gazette.

**Abdulla Bin Saoud Al-Thani**

**The Governor**

**Chairman of the Board of Directors**

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